

Appendix A

NO	ISSUE	RECOMMENDATION	PRIORITY RATING	STATUS	COMMENTS
01	Two of the partnerships reviewed have not stated their risks of being involved in their respective partnerships. Western Area partnership has investigated this issue, but the risks of being in the partnership have not been documented. Tees, Esk and Wear Valley Partnership is aware of the risks of being involved in the partnership; again these have not been documented.	All partners should ensure that they are aware of the risk of being involved in their respective partnerships and these risks should be formally documented and regularly reviewed.	2 Significant	Accepted	
02	The Western Area Partnership has an action plan which states "develop a risk register"; to date this has not been achieved.	A risk register for the Western Area Partnership should be established; it should be ratified by the board and reviewed on a regular basis.	2 Significant	Accepted	
03	The Tees, Esk and Wear Valley Partnership does not have any documentation which details its gifts and hospitality arrangements.	The Tees, Esk and Wear Valley Partnership should document arrangements for gifts and hospitality; this document should be ratified by the partnership board.	2 Significant	Accepted	

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04	<p>Within each of the three partnerships reviewed, the individual partners have a business continuity plan; the partnership itself does not have business continuity plans. This is not applicable in relation to the Safer Stockton Partnership. If this partnership ceased, there would be no operational effects to services provided.</p>	<p>In order that services continue to operate, the relevant partnerships should have a business continuity plan that encompasses the partnership's operations, and states measures in place to function.</p>	2 Significant	Accepted	
05	<p>The Tees, Esk and Wear Valley Partnership does not have its own code of conduct and relies on those of the respective partners. The partnership agreement does not state requirements in relation to declaration of interests.</p>	<p>The Tees, Esk and Wear Valley Partnership agreement documents the declaration of interest policy and the associated procedures.</p>	2 Significant	Accepted	
06	<p>Neither the Safer Stockton or the Tees, Esk and Wear Valley partnerships have a declaration of interest register, although it was stated that Safer Stockton were to put a register in place and the Tees, Esk and Wear Valley board had not yet met at the time of the audit, however, when they do, interests would be declared.</p>	<p>Two of the three partnerships reviewed did not have a declaration of interests register, these being the Safer Stockton Partnership and the Tees, Esk and Wear Valley Partnership. A declaration of interests register should be established and made available for appropriate inspection.</p>	2 Significant	Accepted	